Rules for Submitting a Doctoral Thesis Using a Compendium of Publications

Introduction

The Universitat Pompeu Fabra (UPF), in its academic regulations for doctoral studies (Agreement of the Governing Council of May 2, 2007, amended by agreement of the Governing Council on October 6, 2008), establishes the following procedures regarding the submission of a thesis using a compendium of publications:

Article 10. Paragraph 7.1: The doctoral thesis should consist of original research following one of the lines of research of the doctorate. The doctoral thesis may also consist of a set of articles that, with the permission of the thesis director, have recently been published or are being published. In the latter case, the doctoral thesis must include a report presenting the thesis defended, with an introductory chapter and final conclusions. Articles that are integrated into a thesis cannot be included as part of another thesis.

Rules

1. Application. The person wishing to submit a thesis using a compendium of publications must write a letter of application to the Director of the Department of Communication. This letter must present the research developed and explain the thematic unity of the publications. It must also include complete data on the publications that will form the compendium, specifying the type of publication (e.g., national journal, international journal, ISI journal, Scopus journal). Along with the letter, which should be submitted to the department secretary, a report from the thesis director must be included.
2. **Registration.** To register the thesis, the doctoral candidate should follow the procedures established by the Regulations of the UPF and the department.

3. **Number and type of publications.** The set of publications should consist of at least four articles with the following characteristics:

   All articles must have been published or accepted for publication in academic peer-reviewed journals that are part of repertoires such as ISI, Scopus, IN-RECS (with impact index), CARHUS + (evaluated as A or B), RESH (with impact index), DICE (with external evaluators, frequency achieved, external opening of the editorial board, external opening of the authors and included in the Latindex catalogue) or Latindex (with a minimum of 30 criteria achieved).

   a. At least one article must be published in English.

   b. At least one article must be published in an ISI journal.

   c. At least one item should be authored only by the doctoral candidate. The remaining articles may be co-authored. In all cases, the doctoral candidate must be the first author.

   d. Articles used in a thesis cannot be included as part of another doctoral candidate’s thesis. Co-authors who do not have PhDs must sign a waiver authorising use of the article in the doctoral candidate’s thesis.

   e. All articles must have been published after enrolment in the doctoral program. No more than three years may pass (or six years if the PhD is completed part-time) between publication of the first and last articles (or the letter of acceptance for publication). Once the thesis has been written, the deadline for submission (final deposit of the thesis) is three years (if part-time, this period can be extended to six years).

Additionally, other publications, such as conference papers, books or book chapters, may be attached, but these publications should serve only as complements.

4. **Format.** The doctoral thesis will include a report, between 40 and 60 pages long (excluding appendix), presenting the research to be defended, with an introductory chapter and final conclusions. The report must justify the unity of the published research. An appendix with the published documents should also be added. If the documents cannot be attached, complete reference data should be provided, including the ISSN number, letter or e-mail of acceptance for publication and a copy of the article.
5. **Submission.** To deposit the thesis, the doctoral candidate must follow the procedures established by the Regulations of the UPF and their department. These regulations and the department rules for submitting a thesis using a compendium of publications will be sent to the faculty member responsible for the external report and all members of the committee.

6. **Thesis Defense.** To defend the thesis, the doctoral candidate must follow the procedures established by the Regulations of the UPF and their department.